

Penallta House,
Tredomen Park,
Ystrad Mynach,
Hengoed CF82 7PG

Ty Penallta,
Parc Tredomen,
Ystrad Mynach,
Hengoed CF82 7PG



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For all enquiries relating to this agenda please contact Emma Sullivan
(Tel: 01443 864420 Email: sullie@caerphilly.gov.uk)

Date: 19th January 2021

Dear Sir/Madam,

A digital meeting of the **Audit Committee** will be held via Microsoft Teams on **Tuesday, 26th January, 2021 at 2.00 pm** to consider the matters contained in the following agenda. You are welcome to use Welsh at the meeting, a minimum notice period of 3 working days is required should you wish to do so.

This meeting will be recorded and made available to view via the Council's website, except for discussions involving confidential or exempt items. Therefore the images/audio of those individuals speaking will be publicly available to all via the recording on the Council website at www.caerphilly.gov.uk

Yours faithfully,

A handwritten signature in black ink, appearing to read 'Chrissy'.

Christina Harrhy
CHIEF EXECUTIVE

AGENDA

	Pages
1 To receive apologies for absence.	
2 Declarations of Interest.	

Councillors and Officers are reminded of their personal responsibility to declare any personal

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and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

To approve and sign the following minutes: -

- | | | |
|---|---|---------|
| 3 | Audit Committee held on 21st October 2020. | 1 - 6 |
| 4 | Special Audit Committee held on 16th November 2020. | 7 - 10 |
| 5 | Audit Committee Forward Work Programme. | 11 - 16 |

To receive and consider the following reports: -

- | | | |
|----|---|----------|
| 6 | Verbal Update from Wales Audit Office. | |
| 7 | Caerphilly County Borough Council - Annual Audit Summary 2020. | 17 - 24 |
| 8 | Audit Wales Report - 'Raising Our Game' - Tackling Fraud in Wales. | 25 - 74 |
| 9 | 2018/20 National Fraud Initiative Update, Local Outcomes and Plans for the 2021 Exercise. | 75 - 86 |
| 10 | Update of Corporate Risk Register - December 2020. | 87 - 96 |
| 11 | Local Government and Elections (Wales) Bill. | 97 - 102 |

To receive and note the following information items:-

- | | | |
|----|---|-----------|
| 12 | Regulation of Investigatory Powers Act 2000. | 103 - 106 |
| 13 | Register of Employees' Interests Forms 2019/20. | 107 - 114 |
| 14 | Officers Declarations of Gifts and Hospitality - April to June 2020. | 115 - 118 |
| 15 | Officers Declarations of Gifts and Hospitality - July to September 2020. | 119 - 122 |
| 16 | Six Month Update on the Number of Complaints received under the Council's Corporate Complaints Policy. | 123 - 130 |
| 17 | Annual Review of Complaints received under the Council's Corporate Complaints Policy 1st April 2019 to 31st March 2020. | 131 - 150 |

**If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please Emma Sullivan, 01443 8644207, by 10.00 a.m. on Tuesday 26th January 2021.*

Circulation:

Councillors M.A. Adams, Mrs E.M. Aldworth, J. Bevan, C.J. Cuss, Ms J. Gale, D.T. Hardacre, C.P. Mann, B. Miles, Mrs T. Parry, Mrs M.E. Sargent (Chair), G. Simmonds and J. Simmonds

And Appropriate Officers

HOW WE WILL USE YOUR INFORMATION

Those individuals that attend committee meetings to speak/give evidence will be named in the minutes of that meeting, sometimes this will include their place of employment or business and opinions expressed. Minutes of Meetings including details of speakers will be publicly available to all via the Council website at www.caerphilly.gov.uk. except for discussions involving confidential or exempt items.

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